

***PEREGRINE HOLDINGS LIMITED  
AND THE PEREGRINE GROUP***

**MANUAL PREPARED IN ACCORDANCE WITH SECTION 51 OF THE  
PROMOTION OF ACCESS TO INFORMATION ACT 2 OF 2000**

**Registered office address**

5<sup>th</sup> Floor Peregrine Building  
6A Sandown Valley Crescent  
Sandown, 2196, Sandton

<b>PEREGRINE HOLDINGS LIMITED</b> <b>Registration number: 1994/006026/06</b> <b>("the Company")</b>		
<b>MANUAL PREPARED IN ACCORDANCE WITH SECTION 51 OF</b> <b>THE PROMOTION OF ACCESS TO INFORMATION ACT No.2 of 2000 ("the Act") for the Company and the</b> <b>following subsidiaries (which are all incorporated in the Republic of South Africa)</b>		
<b>Company Name</b>	<b>Nature of business</b>	<b>Registration Number</b>
Peregrine Financial Services Holdings Limited	holding company	1996/003288/06
Direct Securities (Pty) Limited	financial services	1997/012992/07
Peregrine Management Services (Pty) Limited	dormant	1996/003532/07
Peregrine Strategic Investments (Pty) Limited	investment holding company	1998/004156/07
Peregrine Structuring (Pty) Limited	financial services	1997/006381/07
TWF Investments (Pty) Limited	investment holding company	1999/006022/07
Peregrine Treasury Solutions (Pty) Limited	dormant	1998/021427/07
PGR 33 Investments (Pty) Limited	dormant	1996/003287/07
Taita Technology (Pty) Limited	investment holding company	1998/005114/07
Taita Holdings (Pty) Limited	investment company	1998/005033/07
Chasm Equity Fund (Pty) Limited	investment company	1997/021156/07
PGR 19 Investments (Pty) Limited	investment holding company	1999/015903/07
Lexshell 249 Investments (Pty) Limited	investment holding company	1998/021578/07
Upfield Investments (Pty) Limited	investment holding company	2000/000496/07
Peregrine Securities (Pty) Limited	holding company	1997/011413/07
Peregrine Equities (Pty) Limited	equities stock broker and prime broking	1999/010976/07
Peregrine Derivatives (Pty) Limited	derivatives broker	1997/007083/07
Peregrine Financial Products (Pty) Limited	financial product structuring	1998/016750/07
Peregrine Capital (Pty) Limited	asset manager	1998/004238/07
Peregrine Investment Managers (Pty) Limited	asset manager	1958/003551/07
Peregrine iQ (Pty) Limited	asset manager	1999/015894/07
Peregrine Collective Investments (Pty) Limited	collective investment scheme management company	2007/017601/07

<b>PART I</b> <b>Information required under section 51(1)(a) of the Act</b>	
<p><b>NATURE OF BUSINESS:</b> The Company is a holding company of the Peregrine group which is a wealth and asset management group, the core South African operating companies of which are –</p> <p>Citadel Holdings Limited and its subsidiaries  Peregrine Capital (Proprietary) Limited  Peregrine Securities (Proprietary) Limited and its subsidiaries  Peregrine iQ (Proprietary) Limited and its subsidiaries  Peregrine Investment Managers (Proprietary) Limited</p> <p><b>NOTE: separate manuals have been prepared and submitted by Citadel Holdings Limited in respect of itself and its subsidiaries.</b></p>	
<b>CONTACT DETAILS</b>	
Name of body	Peregrine Holdings Limited
Head of body	Keith Alan Betty (Chief Executive Officer)
Contact person	Mandy Yachad
Physical Address	5 <sup>th</sup> Floor, Peregrine Building 6A Sandown Valley Crescent Sandown, 2196 Sandton
Postal Address	PO Box 650361 Benmore 2010
Telephone Number	+27 11 722 7400
Facsimile Number	+27 11 722 7410
Email address	mandyy@peregrine.co.za
Web site	www.peregrine.co.za

**PART II**

**Information required under section 51(1)(b) of the Act**

Section 51(1)(b) of the Act calls for a description of the guide referred to in section 10 of the Act and how to obtain access to it. The guide referred to in section 10 is a guide that has been compiled by the South African Human Rights Commission (“SAHRC”) containing such information as may reasonably be required by a person who wishes to exercise any right contemplated in the Act. The guide will be available from the SAHRC and any queries should be directed as follows –

**The South African Human Rights Commission:**

**PAIA Unit**

**The Research and Documentation Department**

Postal address:	Private Bag 2700 Houghton 2041
Telephone:	+27 11 484-8300
Fax:	+27 11 484-7146
Website:	<a href="http://www.sahrc.org.za">www.sahrc.org.za</a>
E-mail:	<a href="mailto:PAIA@sahrc.org.za">PAIA@sahrc.org.za</a>

**PART III**

**Copy of notice, if any, required under section 51(1)(c) of the Act**

As at the date of compilation of this manual, no such notices have been published in the Government Gazette relating to the Company

**PART IV**

**Information required under section 51(1)(d) of the Act**

Records of the Peregrine Group (being the Company as well as its subsidiaries and other legal entities in which the Company has a direct controlling interest or an indirect controlling interest through its subsidiaries) may be kept by or on behalf of the Peregrine Group in accordance with the following legislation (some of which legislation may not be applicable to the Company), as well as with other legislation that may apply to the Company and/or its subsidiaries from time to time:

Financial Advisory and Intermediary Services Act 37 of 2002  
 Financial Institutions (Protection of Funds) Act 28 of 2001  
 Financial Intelligence Centre Act 38 of 2001  
 Financial Services Board Act 97 of 1990  
 Financial Services Ombud Schemes Act 37 of 2004  
 Inspection of Financial Institutions Act 80 of 1998  
 Collective Investment Schemes Control Act 45 of 2002  
 Pension Funds Act 24 of 1956  
 Securities Services Act 36 of 2004  
 Protection of Constitutional Democracy against Terrorist and Related Activities Act 33 of 2004  
 Trust Property Control Act 57 of 1988  
 Currencies and Exchanges Act 9 of 1993  
 Banks Act 94 of 1990  
 Long-term Insurance Act 52 of 1998  
 Basic Conditions of Employment Act 57 of 1997  
 Compensation for Occupational Injuries and Diseases Act 130 of 1993  
 Employment Equity Act 55 of 1998  
 Labour Relations Act 66 of 1995  
 Occupational Health and Safety Act 85 of 1993  
 Protected Disclosures Act 26 of 2000  
 Skills Development Act 97 of 1998  
 Skills Development Levy Act 9 of 1999  
 Unemployment Insurance Act 30 of 1966  
 Unemployment Insurance Contributions Act 4 of 2002  
 Income Tax Act 58 of 1962  
 Securities Transfer Tax Act 25 of 2007  
 Securities Transfer Tax Administration Act 26 of 2007  
 Value Added Tax Act 89 of 1991  
 Broad-based Black Economic Empowerment Act 53 of 2003  
 Companies Act 61 of 1973  
 Copyright Act 98 of 1978  
 Electronic Communications and Transactions Act 25 of 2002  
 Prevention and Combating of Corrupt Activities Act 12 of 2004  
 Prevention of Organised Crime Act 121 of 1998  
 Promotion of Access to Information Act 2 of 2000  
 Regulation of Interception of Communications and Provision of Communication-Related Information Act 70 of 2002  
 Trade Marks Act 194 of 1993  
 National Credit Act 34 of 2005

**PART V**

**Information required under section 51(1)(e) of the Act**

**A WebPage**

Details of the Company and all core operating companies within the Peregrine Group (including a brief description of its business, a contact name and contact number) are included on the Web page of the Company [[www.peregrine.co.za](http://www.peregrine.co.za)], which Web page is accessible to anyone who has access to the Internet. The Peregrine Group Web page contains the following categories:

- o Audited Results of the Peregrine Group
- o Strategic Profile
- o Board of Directors
- o Operating Divisions
- o Financial Information
- o Shareholders' Information
- o Corporate Responsibility
- o Media Articles
- o Contact Us
- o Site Map

**B Published Annual Financial Reports of the Peregrine Group**

Published Annual Financial Reports of the Peregrine Group for the financial years ended 31 March 1999 to date are available in hard copy, on reasonable prior notice.

**C Other Records**

Circulars: documents circulated within the Company on topics relevant to the industry in which the Company operates.

SENS: where required by law, information is made available on SENS.

Operational Information: This information can be defined as information needed in the day to day running of the organisation and is either of a confidential nature (to which members of the general public are not entitled) or generally of no use to persons outside the organisation. Such organisational information includes: address lists, phone lists, requisitions, Company policies, directives, contracts, employee records and general "house keeping" information.

**D**

The Minister of Justice and Constitutional Development has not made any regulation with regard to other prescribed information in terms of section 51(1)(f) of the Act.

<b>PART VI</b> <b>Requests for information – the request procedure</b>	
<b>A</b>	<p><b>Form of request:</b></p> <ul style="list-style-type: none"> <li>• The requester must use the prescribed form to make the request for access to a record. This must be made to the contact person of the Company. This request must be made to the address, fax number or electronic mail address of the body concerned [s 53(1)].</li> <li>• The requester must provide sufficient detail on the request form to enable the head of the Company to identify the record and the requester. The requester should also indicate which form of access is required. The requester should also indicate if any other manner is to be used to inform the requester and state the necessary particulars to be so informed [s 53(2)(a) and (b) and (c)].</li> <li>• The requester must identify the right that is sought to be exercised or to be protected and provide an explanation of why the requested record is required for the exercise or protection of that right [s 53(2)(d)].</li> <li>• If a request is made on behalf of another person, the requester must then submit proof of the capacity in which the requester is making the request to the satisfaction of the head of the Company [s 53(2)(f)].</li> </ul>
<b>B</b>	<p><b>FEES:</b></p> <p>A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee. Every other requester, who is not a personal requester, must pay the required request fee:</p> <ul style="list-style-type: none"> <li>• The head of the Company must notify the requester (other than a personal requester) by notice, requiring the requester to pay the prescribed fee (if any) before further processing the request [s 54(1)].</li> <li>• The fee that the requester must pay to a private body is R50. The requester may lodge an application to the court against the tender or payment of the request fee [s 54(3)(b)].</li> <li>• After the head of the Company has made a decision on the request, the requester must be notified in the required form.</li> <li>• If the request is granted then a further access fee must be paid for the search, reproduction, preparation and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure [s 54(6)].</li> </ul>
<b>Part VII</b> <b>Availability of this manual</b>	
<p>This manual is available for inspection, on reasonable prior notice, at the office of the Company free of charge. Copies of the manual of the Company are also available from the SAHRC and the Company's web site.</p>	
<b>Acknowledgement:</b>	
<p>In compiling this manual, reference has been made to the guidelines for private bodies, for the manual required in terms of section 51 of the Act, provided by the South African Human Rights Commission.</p>	