Peregrine Capital™

ACTING ON BEHALF OF THE INVESTOR

Important information:

- This form must be completed in instances where the investor is without legal capacity to act on their own behalf (e.g. minors or persons under legal guardianship). It should be completed by the third party who is legally authorised to act on behalf of the investor (e.g. an executor of an estate). Appropriate legal documentation confirming the authority of the signatory must be attached.
- This form should not be used for individuals providing the investor with a financial service, e.g. advice or intermediary services. Financial Services Providers must be registered with the Financial Sector Conduct Authority (FSCA) and enter into an agreement with Peregrine Capital.
- This form is not applicable to individuals who are authorised representatives of entities such as companies and trusts.

By signing this form, you confirm:

- You have read and agree to the **Terms and Conditions**.

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za
- If you are a South African citizen/resident, Peregrine Capital will verify the information you provide by using trusted third-party service providers in order to comply with FICA. We reserve the right to request additional information and/or documents as required.
- If you are a foreign national, please provide a valid copy of your passport.
- Please provide proof of authority to act on behalf of the investor (e.g., mandate, power of attorney, court order).
- If the application is for a minor, please provide a copy of the unabridged birth certificate.
- We reserve the right to request additional information or documentation as required.

ACTING ON BEHALF OF THE INVESTOR

1. Investor Details

Client / Account number

Full name

ID number

2. Details of Person Acting on Behalf of the Investor

Relationship to investor

Parent ☐ Legal guardian ☐ Curator ☐

Other (please specify):

Personal details

Title Surname

First name(s)

Preferred name

ID / Passport number Date of birth

Passport country of issue Passport expiry date

Country(ies) of citizenship

Country(ies) of residency

3. Contact Details

Mobile number Telephone number (w)

Email address

Residential address

Postal Code

The contact details provided must be your own.

4. Employment Details

Are you currently employed? Yes ☐ No ☐

What is your current position?

Non-managerial role ☐ Manager ☐ Self-employed/owner ☐

Non-executive director ☐ Senior management /Executive ☐

What industry do you work in?

If other, please specify:

Who is your current employer?

How long have you been with your current employer?

Less than a year ☐ 1-2 years ☐ 3-5 years ☐ 5+ years ☐

If you have been with your current employer for less than a year, please provide details of your previous employer:

What was your previous position?

Non-managerial role ☐ Manager ☐ Self-employed/owner ☐

Non-executive director ☐ Senior management /Executive ☐

What industry did you previously work in?

If other, please specify:

Who is your previous employer?

How long were you with your previous employer?

Less than a year ☐ 1-2 years ☐ 3-5 years ☐ 5+ years ☐

If you are currently unemployed, please provide us with more details on the reason for your unemployment:

Retired ☐ Minor ☐ Student ☐ Homemaker ☐

Other (please specify):

5. Prominent Influential Person Declaration

Are you, or have you been, a prominent influential person? Yes ☐ No ☐

Are any of your immediate family members, or close associates, prominent influential persons?

Yes ☐ No ☐

If yes, please specify

- ☐ Ambassador, senior judicial officer, senior officer in armed forces
- ☐ Head of state, head of government, minister, deputy minister, senior government official, member of parliament or similar legislative body
- ☐ Board member, executive, senior manager, or member of supervisory body of state-owned enterprise or local regional council
- ☐ Senior executive of an international organisation such as the United Nations, the African Union or the equivalent
- ☐ Senior or other important political party official
- ☐ Traditional or religious leader

6. Tax Information

Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Tax residency details

We are required to collect information about your tax residence. This means we need to know the countries where you are liable to pay tax, regardless of whether you physically reside there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent (FE). If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access this guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason: _____

7. Declaration by the Authorised Person

By signing below, I confirm that:

- I am not providing any financial services to clients of Peregrine Capital.
- I am not required to be a licensed FSP and/or a representative of a licensed FSP.
- I confirm that all the information I have supplied is correct and complete.
- I am duly authorised to act on behalf of the person to whom this information relates.

Signature	
Full name	
Date	