

CHANGE OF INVESTOR DETAILS FOR ENTITIES

Important information:

Use this form to make the following changes:

- Legal entity details
- Legal entity tax information
- Legal entity banking details
- Change in ownership and control information of the entity
- Update to statement and transaction confirmation distribution list

Please complete only the sections relevant to your changes.

By signing this form, you confirm:

- You have read and agree to the **Terms and Conditions**.

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za.
- If you are a South African citizen, resident or company, Peregrine Capital will verify the information you provide by using trusted third-party service providers in order to comply with FICA. Additional documentation will be required to determine authorised signatories and ultimate beneficial ownership.
- Please refer to the **FICA and Tax** guide for the full list of required documents.
- If any of the beneficial owners or authorised signatories are foreign nationals, please provide a valid copy of their passport.
- Electronic collections, including monthly debit orders, can only be processed from a South African bank account. If your bank account is not held in South Africa, please provide proof of the account.
- We reserve the right to request additional information or documentation as required.

How will I know when my details have been updated:

- Peregrine Capital will send you confirmation once your details have been updated.

CHANGE OF INVESTOR DETAILS FOR ENTITIES

1. Investor Details

Client / Account number

Entity name

Registration number

2. Change of Investor Details

Change of entity name

Registered name

Trading name

Change of entity contact details

Registered address

Postal code

Email

Telephone

Change of primary contact person

Title

Surname

First name(s)

Preferred name

Email

Telephone

Please note that all communication will be sent to the primary contact number and email address provided.

3. Change of Legal Entity Tax Information

We are required to collect information about the entity's tax residence. This means we need to know which countries the entity is liable to pay tax, regardless of whether the entity physically resides there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Foreign tax classification

Is the entity registered in the US for tax purposes?

Yes

☐

No

☐

Are you a Financial Institution?

Is the legal entity a Financial Institution?

Yes

☐

No

☐

If yes, please provide your Global Intermediary Identification Number (GIIN) or the GIIN of your sponsoring entity:

If the legal entity cannot provide a GIIN, please provide a reason:

Should the investor fail to select either of the above classifications, Peregrine Capital will assume the investor is a non-participating Financial Institution.

Are you a non-Financial Institution?

If the legal entity is not a Financial Institution, please tick the appropriate classification

Active

☐

Passive

☐

The entity qualifies for an exemption or reduced rate

Please complete the **Dividend Withholding Tax Form** included as **Annexure C** for an exemption or reduced rate.

4. Change of Banking Details

The bank account you provide must be in the name of the investor. Electronic collections and payments will be made from the bank account provided. Credit cards, market-linked accounts, or third-party bank accounts are not permitted. If your bank account is not held in South Africa, please provide proof of the account.

Name of account holder

Name of bank

Account number

Account type

Cheque

☐

Savings

☐

Debit order instruction

The monthly debit order may be affected by the change of banking details. How would you like your existing debit order to be treated after the change?

☐

Debit order amount remains unchanged. Existing debit order must be deducted from new bank account.

☐

Pause or change debit order instruction. Click [here](#) to complete a **Debit Order Instruction** form.

☐

Cancel my debit order instruction as soon as this change becomes effective.

Recurring withdrawal instruction

The recurring monthly withdrawal may be affected by the change of banking details. How would you like your existing monthly withdrawal to be treated after the change?

☐

Monthly withdrawal amount remains unchanged. The withdrawal must be paid into my new bank account.

☐

Cancel my existing monthly withdrawal instruction as soon as this change becomes effective.

☐

Change my monthly withdrawal amount per the details provided below:

Fund	New amount	Effective date
Peregrine Capital High Growth Retail Hedge Fund		28 th of
Peregrine Capital Pure Hedge Retail Hedge Fund		28 th of
Peregrine Capital Vision Retail Feeder Hedge Fund		28 th of
Peregrine Capital High Growth QI Hedge Fund		1 st of
Peregrine Capital Pure Hedge QI Hedge Fund		1 st of
Peregrine Capital Dynamic Alpha QI Hedge Fund		1 st of

☐

Pause my monthly withdrawal and reinstate it as per the details provided below:

Fund	New amount	Reinstatement effective date
Peregrine Capital High Growth Retail Hedge Fund		28 th of
Peregrine Capital Pure Hedge Retail Hedge Fund		28 th of
Peregrine Capital Vision Retail Feeder Hedge Fund		1 st of
Peregrine Capital High Growth QI Hedge Fund		1 st of
Peregrine Capital Pure Hedge QI Hedge Fund		1 st of
Peregrine Capital Dynamic Alpha QI Hedge Fund		1 st of

5. Change of Financial Adviser Information

If you would like to amend your financial adviser information, please click [here](#) to complete the **Change of Financial Adviser Form**.

6. Update to Client Statement Distribution List

Please indicate who, in addition to the primary contact and financial adviser (where applicable), should receive transaction confirmations and monthly statements:

Title	Full Name	Email address

7. Control and Ownership Information (Related party that is an entity)

This section must be completed by each entity that holds a direct or indirect interest in, or exercises control or significant influence over, the investor. **Additional copies of this section can be found as Annexure A at the end of the form.**

Entity Type	Type of ownership / interest
☐ Trust	☐ Corporate Trustee ☐ Founder (that is an entity) ☐ Beneficiary (that is an entity) ☐ Shareholder (that is an entity) with $\geq 5\%$ Controlling interest
☐ Unlisted company ☐ Listed company	☐ Shareholder (that is an entity) with $\geq 5\%$ Controlling interest ☐ Other (please specify (e.g. voting control))
☐ Partnership	☐ Partner (that is an entity) ☐ General/Disclosed Partner (that is an entity) ☐ Other (please specify (e.g. voting control))
☐ Regulated financial institution (e.g., Investment Fund)	☐ Management company
☐ Other legal entity (please specify)	☐ Describe nature of control/ownership

Entity details

Registered name			
Trading name			
Registration number		FSP licence number	
Country of incorporation		Date of incorporation	
Industry of business			
Other, please specify:			
Does the legal entity have the ability to issue bearer shares?	Yes	☐	No ☐

Registered address

	Postal Code

Legal Entity Tax Information

We are required to collect information about the entity's tax residence. This means we need to know which countries the entity is liable to pay tax, regardless of whether the entity physically resides there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

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Foreign tax classification

Is the entity registered in the US for tax purposes?

Yes

☐

No

☐

Are you a Financial Institution?

Is the legal entity a Financial Institution?

Yes

☐

No

☐

If yes, please provide your Global Intermediary Identification Number (GIIN) or the GIIN of your sponsoring entity:

--

If the legal entity cannot provide a GIIN, please provide a reason:

--

Should the beneficial owner fail to select either of the above classifications, Peregrine Capital will assume the Investor is a non-participating financial Institution.

Are you a non-financial Institution?

If the legal entity is not a financial institution, please tick the appropriate classification

Active

☐

Passive

☐

8. Control and Ownership Information (Related party that is a natural person)

This section must be completed by each individual with a direct or indirect interest in, or significant control over the entity. **Additional copies of this section can be found as Annexure B at the end of the form.**

Capacity (please tick all relevant options if more than one applies)

Partner	☐	Member	☐	Director	☐
Founder	☐	Trustee	☐	Beneficiary	☐
Shareholder with 5% controlling interest	☐	Person exercising executive control	☐	Ultimate beneficial owner	☐
Authorised signatory	☐	Other (please specify)			

Personal details

Title		Surname		
First name(s)				
Preferred name				
ID / Passport number		Date of birth		
Passport country of issue		Passport expiry date		
Country(ies) of citizenship				
Country(ies) of residency				

Residential address

	Postal code	
Mobile number		Telephone number(w)
Email		

Tax information

Are you a US citizen or resident in the US for tax purposes?

Yes

☐

No

☐

Tax residency details

We are required to collect information about your tax residence. This means we need to know the countries where you are liable to pay tax, regardless of whether you physically reside there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Prominent influential person declaration

Are you, or have you been, a prominent influential person?

Yes

☐

No

☐

Are any of your immediate family members, or close associates, prominent influential persons?

Yes

☐

No

☐

If yes, please specify:

☐

Ambassador, senior judicial officer, senior officer in armed forces

☐

Head of state, head of government, minister, deputy minister, senior government official, member of parliament or similar legislative body

☐

Board member, executive, senior manager, or member of supervisory body of state-owned enterprise, local or regional council

☐

Senior executive of an international organisation such as the United Nations, the African Union or the equivalent.

☐

Senior or other important political party official

☐

Traditional or religious leader

9. Investor Declaration and Consent

By signing below, I/we, for and on behalf of the Investor, confirm that:

- The information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.
- I/we have read, understood and agree to the Terms and Conditions.
- I/we have read and understood the risk profile of the Funds and acknowledge that there are no guarantees regarding the preservation of capital or the future performance of the Funds.
- I/we consent to Peregrine Capital processing my information according to the Terms and Conditions.
- I/we agree that my personal information may be collected and verified from another source, if not collected directly from me.
- I/we acknowledge that any information supplied to Peregrine Capital in terms of this application is provided voluntarily to Peregrine Capital.
- I/we hereby authorise Peregrine Capital to debit my South African bank account once off or on a monthly basis for the agreed investment amount. Collections pertaining to Qualified Investor Funds will display a reference of "PCQIHF" and those pertaining to Retail Funds as "PCRHF" on your bank statement.
- I/we confirm that I have read and understood the Tax Guide provided by Peregrine Capital and that, to the best of my knowledge, all tax-related information submitted in this application is true, complete, and correct.
- In terms of the Intergovernmental Agreement ("IGA") entered into between South Africa and the US to implement the United States Foreign Account Tax Compliance Act ("FATCA"), Peregrine Capital is required to collect information from each client to ensure the identification and classification is reported correctly to the South African Revenue Service ("SARS"), which may in turn report on such clients to the US tax and other regulatory authorities, where appropriate.
- I/we will notify Peregrine Capital immediately if any information disclosed herein changes and is no longer applicable or if there are any changes in circumstances that may impact on my tax residency status, FATCA/CRS classification or any other foreign tax classification.

Signature

Full name

Capacity of signatory

Date

Signature

Full name

Capacity of signatory

Date

ANNEXURE A - CONTROL AND OWNERSHIP INFORMATION - ENTITY

This section must be completed by each entity that holds a direct or indirect interest in, or exercises control or significant influence over, the investor.

Entity Type	Type of ownership / interest
☐ Trust	☐ Corporate Trustee ☐ Founder (that is an entity) ☐ Beneficiary (that is an entity) ☐ Shareholder (that is an entity) with $\geq 5\%$ Controlling interest
☐ Unlisted company	☐ Shareholder (that is an entity) with $\geq 5\%$ Controlling interest
☐ Listed company	☐ Other (please specify (e.g. voting control))
☐ Partnership	☐ Partner (that is an entity) ☐ General/Disclosed Partner (that is an entity) ☐ Other (please specify (e.g. voting control))
☐ Regulated financial institution (e.g., Investment Fund)	☐ Management company
☐ Other legal entity (please specify)	☐ Describe nature of control/ownership

Entity details

Registered name			
Trading name			
Registration number		FSP licence number	
Country of incorporation		Date of incorporation	
Industry of business	Accounting, auditing, assurance, business, professional services		
Other, please specify:			
Does the legal entity have the ability to issue bearer shares?	Yes	☐	No ☐

	Postal Code

We are required to collect information about the entity's tax residence. This means we need to know which countries the entity is liable to pay tax, regardless of whether the entity physically resides there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

If unable to obtain a TIN, please specify reason:

Is the entity registered in the US for tax purposes?

5



Is the legal entity a Financial Institution?

5



If the legal entity is not a financial institution, please tick the appropriate classification

9

10

Investor Declaration:

By signing below, I/we for and on behalf of the Investor, confirm that the information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.

Signature			
Full name			
Capacity of signatory		Date	

Signature			
Full name			
Capacity of signatory		Date	

ANNEXURE B - CONTROL AND OWNERSHIP INFORMATION - INDIVIDUAL

This section must be completed by each individual with a direct or indirect interest in, or significant control over the entity.

Capacity (please tick all relevant options if more than one applies)

Partner

☐

Member

☐

Director

☐

Founder

☐

Trustee

☐

Beneficiary

☐

Shareholder with 5%
controlling interest

☐

Person exercising
executive control

☐

Ultimate beneficial
owner

☐

Authorised signatory

☐

Other (please specify)

Personal details

Title

Surname

First name(s)

Preferred name

ID / Passport number

Date of birth

Passport country of issue

Passport expiry date

Country(ies) of citizenship

Country(ies) of residency

Residential address

Postal code

Mobile number

Telephone number(w)

Email

Tax information

Are you a US citizen or resident in the US for tax purposes?

Yes

☐

No

☐

Tax residency details

We are required to collect information about your tax residence. This means we need to know the countries where you are liable to pay tax, regardless of whether you physically reside there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Prominent influential person declaration

Are you, or have you been, a prominent influential person?

Yes

☐

No

☐

Are any of your immediate family members, or close associates, prominent influential persons?

Yes

☐

No

☐

If yes, please specify:

☐

Ambassador, senior judicial officer, senior officer in armed forces

☐

Head of state, head of government, minister, deputy minister, senior government official, member of parliament or similar legislative body

☐

Board member, executive, senior manager, or member of supervisory body of state-owned enterprise, local or regional council

☐

Senior executive of an international organisation such as the United Nations, the African Union or the equivalent.

☐

Senior or other important political party official

☐

Traditional or religious leader

Investor Declaration:

By signing below, I/we for and on behalf of the Investor, confirm that the information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.

Signature

Full name

Capacity of signatory

Date

Signature

Full name

Capacity of signatory

Date