Peregrine Capital™

TRANSFER OF OWNERSHIP

Before you transfer ownership:

- Peregrine Capital does not provide financial advice; however, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser ("IFA").
- The transfer instruction may result in a Capital Gains Tax ("CGT") event.
- If the units are ceded, written consent for the transfer instruction or cancellation of the cession must accompany this Transfer of Ownership form.
- If you are transferring to another person/entity and opening a new investment in the name of the person or entity, this instruction must be accompanied by the relevant application form.
- Please refer to our **Terms and Conditions** for transaction cut-off times.

By signing this form, you confirm:

- You have read and agree to the **Terms and Conditions**.

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za.
- We reserve the right to request additional information or documentation as required.

How will I know my instruction has been processed:

- Peregrine Capital will send you a transaction confirmation.
- The information can be viewed on the Client Portal.

TRANSFER OF OWNERSHIP

1. Transferor (Current Investor)

Account number

Full name / Entity name

ID number / Registration number

2. Transferee (Recepient of Units)

Account number

Full name / Entity name

ID number / Registration number

If the transferee is not an existing investor, please complete the relevant application form:

☐ **Application Form for Individual** or ☐ **Application Form for Legal Entities**

3. Transfer Instruction

The transfer amount must meet the required minimum investment lump sum amount required per fund.

Qualified Investor self-certification

The investor is a self-certified qualified investor as defined in the Collective Investment Scheme Control Act, No 45 of 2002

☐ The investor has R1,000,000 it would like to invest as a lump sum

and

the investor has demonstrable knowledge and experience in financial and business matters which would enable the investor to assess the merits and risk of a hedge fund investment.

or

☐ The investor has appointed a financial adviser who has demonstrable knowledge and experience to advise the investor regarding the merits and risks of a hedge fund investment.

Minimum investment limits

- Retail Hedge Funds: The minimum investment amount is R25,000 per fund, or a monthly debit order of R1,000 per fund.
- Qualified Investor Hedge Funds: The minimum investment amount is R1,000,000 per fund. Transfer out instructions are subject to a calendar month notice period. Transfer instructions are processed on the 1st calendar day of the month.

Please indicate the fund, amount or percentage you would like to transfer:

Fund	Amount		Percentage	Transfer date
Peregrine Capital High Growth Retail Hedge Fund		or		
Peregrine Capital Pure Hedge Retail Hedge Fund		or		
Peregrine Capital Vision Retail Feeder Hedge Fund		or		
Peregrine Capital High Growth QI Hedge Fund		or		1st of
Peregrine Capital Pure Hedge QI Hedge Fund		or		1st of
Peregrine Capital Dynamic Alpha QI Hedge Fund		or		1st of

4. Debit Order Instruction

Your monthly debit order may be affected by your transfer instruction. How would you like your existing debit order to be treated after the transfer?

☐ Debit order must remain unchanged.
For a 100% transfer of ownership, the debit order will be cancelled. Click here to set up a **Debit Order Instruction** on your new investment.

☐ Pause or change debit order instruction. Please click here to complete the **Debit Order Instruction** form.

☐ Cancel my debit order instruction effective _____ .

5. Recurring Withdrawal Instruction

Your recurring monthly withdrawal may be affected by your transfer instruction. How would you like your existing monthly withdrawal to be treated after the transfer?

☐ Monthly withdrawal must remain unchanged on existing investment.
For a 100% transfer of ownership, the recurring monthly withdrawal instruction will be cancelled.
To set up a new recurring withdrawal instruction on your new investment, complete the section below.

Start, pause or change monthly withdrawal

Withdrawal instructions from the Qualified Investor Hedge Funds are subject to a calendar month notice period and are processed on the 1st business day of the following month.

☐ Please set up a recurring monthly withdrawal from my investment.

☐ Please change the recurring withdrawal amount effective from the date stated below.

☐ Please pause the recurring withdrawal instruction and reinstate it effective from the date stated below.

Fund	Amount	Effective date
Peregrine Capital High Growth Retail Hedge Fund		28th of
Peregrine Capital Pure Hedge Retail Hedge Fund		28th of
Peregrine Capital Vision Retail Feeder Hedge Fund		28th of
Peregrine Capital High Growth QI Hedge Fund		1st of
Peregrine Capital Pure Hedge QI Hedge Fund		1st of
Peregrine Capital Dynamic Alpha QI Hedge Fund		1st of

Cancel monthly withdrawal

☐ Please cancel the monthly withdrawal effective from the date stated below.

Fund	Cancellation effective date
Peregrine Capital High Growth Retail Hedge Fund	
Peregrine Capital Pure Hedge Retail Hedge Fund	
Peregrine Capital Vision Retail Feeder Hedge Fund	
Peregrine Capital High Growth QI Hedge Fund	
Peregrine Capital Pure Hedge QI Hedge Fund	
Peregrine Capital Dynamic Alpha QI Hedge Fund	

6. Investor Declaration and Consent

By signing below, I/we, the investor (or for and on behalf of the investor), hereby confirm that:

- The information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.
- I/we have read, understood and agree to the Terms and Conditions.
- I/we consent to Peregrine Capital processing my information according to the Terms and Conditions.
- I/we agree that my personal information may be collected and verified from another source, if not collected directly from me.
- Peregrine Capital may be legally obliged to disclose the information contained in this form to the South African Revenue Service. I/we do not object to this disclosure.
- I/we have not received any advice from Peregrine Capital regarding this instruction.

Signature		Signature	
Full name		Full name	
Capacity of signatory		Capacity of signatory	
Date		Date	