

## Peregrine Capital – Financial Adviser Form

CLIENT SERVICE CONTACT DETAILS

TEL: +353 1 676 6959  
EMAIL: TA@Prescient.ie

FAX: +353 1 686 5064  
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### FINANCIAL ADVISER DETAILS

Title	<input type="text"/>	First name(s)	<input type="text"/>
Surname	<input type="text"/>	Date of birth	<input type="text"/>
Identity or passport number (if foreign national)	<input type="text"/>	Country of passport	<input type="text"/>
Mobile number	<input type="text"/>	Office number	<input type="text"/>
Email (for personal communication)	<input type="text"/>		
Email (for administrative communication)	<input type="text"/>		
<input type="text"/>	Signature of financial adviser	Date	<input type="text"/>

### FSP DETAILS

Registration name	<input type="text"/>		
Trading name	<input type="text"/>		
Registration number	<input type="text"/>	Income Tax number	<input type="text"/>
VAT number	<input type="text"/>	FSP licence number	<input type="text"/>
Capacity in which the above financial adviser represents the FSP:		<input type="checkbox"/> Key individual	<input checked="" type="checkbox"/> Representative

### AUTHORISED SIGNATORY ON BEHALF OF FINANCIAL SERVICES PROVIDER

Full name and surname	<input type="text"/>		
Capacity of signatory	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/>

### FSP BANKING DETAILS

Please complete the bank account details which relate to this instruction.

**Please note:** Payments are made electronically and we will not make any payments to credit cards, market-linked accounts or third-party bank accounts.

Account Holder Name	<input type="text"/>		
Bank	<input type="text"/>	Account number	<input type="text"/>
Branch	<input type="text"/>	Branch code	<input type="text"/>
Account type	Current <input type="checkbox"/>	Savings <input type="checkbox"/>	

## TERMS AND CONDITIONS

### Declaration

I am licensed to render financial services in respect of this product under the laws of the country in which the services are provided

- I have made all relevant disclosures and provided the Key Investor Information Document required (if any) in terms of the laws of the country in which the services are provided, to the investor/s
- I have established and verified the identity of the investor/s (and persons acting on behalf of the investor) in accordance with the anti-money laundering laws of the country in which the services are provided, and I will keep records of such identification and verification according to the provisions of such laws and provide the Manager with such identification and verification documents as may be required from time to time
- I have explained all fees that relate to this investment to the investor/s and I understand and accept that the investor/s may withdraw his / her authority for payment to me in writing and inform the company

### Offshore Fees

Both initial and on-going annual advice fees will only be paid on a quarterly basis and provided that such fees amount to at least the equivalent of \$250. Prescient is entitled to recoup any bank charges that they may incur in processing the payment of advice fees in respect of the Prescient Global Funds ICAV. Peregrine or Prescient are entitled to change the processing and payment dates and minimum fee amounts on written notice to you.

### Indemnity

By signing this form, you indemnify and hold Peregrine and Prescient harmless against any and/or all claims, demands, penalties, losses, damages, expenses and/or charges of whatsoever nature suffered by Peregrine or Prescient including clients, as a result of your negligence, fraud, wilful misconduct and/or a breach of any provision or undertaking, or representation, including but not limited to:

- A misuse of Peregrine or Prescient information
- A misrepresentation of your financial services registration status to a client
- The provision of advice to a client

### Confirmation

Prescient will only accept applications, submitted on behalf of investors, from IFA's who have been granted a licence by the regulating authority of the country in which the service is being rendered to the investor.

Prescient cannot be held responsible or liable for loss or damage suffered by the investor as a result of the IFA acting outside his / her licence parameters or because of delays in the processing or rejection of this application form, caused by the fact that the investor's IFA is not authorised as per 1 above.

The IFA is responsible for ensuring that the investor receives and understands all appropriate advice, product and fee information including changes in the working practices and procedures of the Company.