

HEDGE FUND PORTFOLIOS APPLICATION FORM FOR INDIVIDUAL INVESTORS

BEFORE YOU INVEST

- 1.1 H4 Collective Investments (RF) (Pty) Ltd ("H4") is registered as a collective investment scheme manager under the Collective Investment Schemes Control Act, No. 45 of 2002.
- 1.2 H4 has appointed Peregrine Capital (Pty) Ltd ("Peregrine Capital") as the discretionary hedge fund manager of the Portfolios in Section 4 ("the Portfolios").
- 1.3 All documents and forms are available from H4 on request by you, or at www.peregrine.co.za.

MAKE AN INFORMED DECISION

- 1.4 Read the latest **Minimum Disclosure Document(s)** and the **Key Investor Information Document(s)** to ensure that you have chosen the correct Portfolio(s) to suit your needs.
- 1.5 Consider getting financial advice
 - Neither H4 nor Peregrine Capital provide financial advice. However, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser ("IFA")
 - If you have an IFA, please complete the **Financial Adviser Form**

IMPORTANT INFORMATION

- 1.6 If the Investor qualifies for an exemption from dividend withholding tax ("DWT") or a reduced rate of DWT, please complete the **Dividend Withholding Tax Form**. Unless H4 receives a **Dividend Withholding Tax Form** from you, H4 will treat you as an individual South African tax resident for DWT purposes and the default DWT rate will apply to the Investor's investment.

COMPLETE THE FORM & AGREE TO THE Ts & Cs

- 1.7 Read and agree to the **H4 Hedge Fund Portfolios Terms and Conditions** of your investment.
- 1.8 Complete all the information on this form to ensure that there is no delay in processing your application.
- 1.9 Please initial next to any amendments that you have made on this form.
- 1.10 You may need to complete additional forms, depending on the specifics of this investment. Complete the **Legal Incapacity/3rd Party Representing the Investor Form** if you are acting on behalf of the Investor in a legal capacity (e.g. guardian of a minor/in terms of a power of attorney/court order).

SEND THE DOCUMENTS

- 1.11 Send the documents listed below to hedge@h4ci.co.za
 - Completed application form for individual investors
 - Copy of your South African bar-coded ID or South African Smart Card ID, valid passport (if foreign national) or birth certificate (for a minor)
 - Should H4 not be able to verify your residential address, H4 will request proof of thereof (e.g. bank statement, utility bill or telephone account less than 3 months old)
 - Verification of your bank details (e.g. cancelled cheque or bank statement, less than three months old). This is the South African bank account from which the Investor will transfer funds to purchase participatory interests and which H4 will use for any future banking transactions until H4 is notified in writing, via a duly authorised **Change of Investor Details Instruction Form**, of any changes
 - If you are making payment from a non-South African bank account, please complete the Non-South African **Bank Account Form**. Monthly debit orders cannot be processed from a non South African bank account
 - Any related forms (e.g. **Legal Incapacity/3rd Party Representing the Investor Form** or **Financial Adviser Form**) and any supporting documents to those forms, if applicable
 - In certain instances H4 may contact you requesting additional documents

MAKE PAYMENT

- 1.12 H4 will not accept payments from any third party, credit card, bond or market linked accounts.
- 1.13 H4 will inform you when your application is approved.
- 1.14 H4 will email the relevant H4 bank account details to you once this application form and FICA review have been approved.
- 1.15 Please use the Investor's name as a reference, and submit a copy of the EFT to hedge@h4ci.co.za. H4 requires proof of payment before this application can be processed.
- 1.16 Please refer to the relevant section in the **H4 Hedge Fund Portfolios Terms and Conditions** for the cut-off time for the submission of this application form, FICA documents and deposit of funds.
- 1.17 Should the funds NOT be reflecting and cleared in the H4 bank account by the cut-off time, the funds will be returned to the Investor, and any loss incurred is for the Investor's account.
- 1.18 The Investor shall not be entitled to receive interest on funds deposited between the date of deposit and the investment date, or on funds returned to the Investor.
- 1.19 If you have any questions, please contact H4 on **086 000 7993** or hedge@h4ci.co.za.

1. Investor information

Title		Initial(s)	
First name(s)		Surname	

Identification type

RSA ID

Passport

Other

If "Other", please specify document type

South African ID number	
Passport number	Expiry date
Country of issue	
"Other" identification number	
Date of Birth	Country of Birth

Residential address

Unit number		Complex name	
Street number		Street/Farm	
Suburb			
City/Town		Postal code	
Country			

Postal address

Same as residential address?

Yes

No

PO Box No.		Private Bag No.		Postnet Suite No.	
Suburb				Postal code	

Are any of the Investor's addresses "in care of" addresses?

Yes

No

If "Yes", please provide the name of the "in care of" entity or individual

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Investor's contact details

(International dialling code + area code + number)

Home		Work	
Mobile			
Email address			

Investor Communication

Please send the Investor's transaction confirmations, statements and other communication to the following email address(es)

Country(ies) of citizenship**Note:** You can have more than one country of citizenship

South African Citizen Other

If "Other", please list the Investor's country(ies) of citizenship

Country(ies) of citizenship	

Country(ies) of residence**Note:** You can have more than one country of residence

South African Resident Non-Resident

If "Non-Resident" please list the Investor's country(ies) of residence

Country(ies) of residence	

Primary country of residence

2. Investor profile information required in terms of the Financial Intelligence Centre Act, No. 38 of 2001 ("FICA")**2.1 Business Relationship**

The Investor is entering into a business relationship with H4 through this application. The nature and purpose of the business relationship is transacting in participatory interests in the Portfolio(s).

Note: The law requires that H4 knows the source of the Investor's income and funds before H4 can process this application**2.2 Source of the Investor's income**

Please indicate the Investor's primary source(s) of income

Notes:

- This refers to the Investor's primary source(s) of income and does not refer to the source of the funds to be invested in terms of this application
- In order for H4 to comply with the provisions of FICA, H4 may require additional documentation e.g. payslip, financial statements, and/or dividend statements

Salary	Pension	Own Business	Investment Income
Other, please specify (e.g. inheritance)			

Please supply the following information with regards to the Investor's primary source(s) of income

Salary	
Name of employer	
Nature of the employer's business/trade/industry	
Position held by the Investor at employer	
Address of employer	
Contact telephone number of employer	

Pension	
Name of fund/insurer providing pension	
Own Business	
Name of business	
Nature of business	
Industry of business	
Address of business	
Contact number of business	
Investment income	
Details on the nature of the investments	
Other	
Please provide details	

2.3 Source of the Investor's funds

Please indicate the source of funds to be invested in the Portfolio(s)

Note: The Investor may be required to provide proof of source of funds e.g. IRP5 certificate/deed of sale of property

Capital/Savings	Pension	Sale of Business	Inheritance
Donation	Sale of Property	Investment Income/Sale of investment	
Other, please specify			

2.4 Domestic Prominent Influential Person or a Foreign Prominent Public Official

Is the Investor a Domestic Prominent Influential Person or a Foreign Prominent Public Official? (Please refer to Annexure A for a list of the functions this refers to).

Yes No

If "Yes", please provide details of position held

Is the Investor a family member or a close associate of a Domestic Prominent Influential Person or a Foreign Prominent Public Official? (Please refer to Annexure A for a list of the functions this refers to)

Yes No

If "Yes", please provide details of position held, including the nature of the relationship

2.5 Contracts with any local, provincial or national government body or the judiciary, the military, or any state owned entity

Does the Investor have any contracts with any local, provincial or national government body or the judiciary, the military, or any state owned entity?

Yes No

If "Yes", please provide details of such contracts

2.6 Links to other countries

Please indicate if the Investor has links, business and/or close personal links with regular contact, with a country outside South Africa

Yes No

If the answer is "Yes", please state the country(ies) and nature of the links

2.7 Estimated investment horizon of this investment

Please indicate the planned investment horizon of this investment

< 6 months	6 – 12 months	1 – 3 years	3 – 5 years	> 5 years
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2.8 Future transactions

Note: This information is required for FICA purposes and providing this information will not create an obligation on the Investor to enter into such future transactions

With regard to future transactions, please indicate if the Investor intends placing additional funds under H4's management and administration

Yes No Uncertain

If "Yes", please provide as much information as possible, including approximate amount, approximate date and the expected source of the funds

Approximate amount	Approximate date	Expected source of funds
R		

3. Investor's tax information

Notes:

- This section applies to all investors whether registered for tax or not
- The South African Revenue Service (SARS) requires H4 to collect tax information from their clients
- Please refer to the H4 tax guide in Annexure B for information on the terms used in this section

3.1 Tax information

Are you a registered taxpayer?

Yes No

If "Yes", please provide tax information

Country(ies) of tax residence	Tax identification number (TIN)	Are you considered to be a citizen in this country?		Are you considered to be a national in this country?	
		Yes	No	Yes	No
		Yes	No	Yes	No
		Yes	No	Yes	No

If you are unable to provide a TIN please provide the reason

If "No", please provide the reason you are not registered for tax

3.2 Foreign Account Tax Compliance Act (“FATCA”) and OECD Common Reporting Standards (“CRS”)

Notes:

- If 3.2.1, 3.2.3 or 3.2.5 is selected, please ensure that Section 3.1 above is fully completed
- Please refer to annexure B for additional information on FATCA & CRS

The Investor is

3.2.1	a citizen of the United States of America	3.2.2	not a citizen of the United States of America
3.2.3	resident for tax purposes in the United States of America	3.2.4	not resident for tax purposes in the United States of America
3.2.5	resident for tax purposes in a foreign country(ies) (other than the USA)	3.2.6	not resident for tax purposes in a foreign country(ies)

4. Choose your investment

4.1 Retail Hedge Funds

Notes:

- Should you wish to start a monthly debit order, please complete Sections 5, Investor’s banking details, and 6.1, Permission to debit your account
- Debit orders will be processed on the 28th calendar day of each month, for subscription of participatory interests in the selected Portfolio(s) on the first business day of the following month
- If applicable, please insert the percentage of the annual increase in the table below. Such annual increase shall be applicable on the investment anniversary date for the duration of the debit order authority
- If you wish for H4 to debit your account for the lump sum investment amount, please complete Section 5, Investor’s banking details, and 6.1, Permission to debit your account
- Direct debits will be processed daily within 48 hours after confirmation that all requirements have been met for the investment

Portfolios	Lump Sum Investment Amount Minimum: R25 000 per portfolio	Monthly Debit Order Minimum: R1 000 per portfolio	Annual Debit Order Increase
Peregrine Capital High Growth H4 Retail Hedge Fund	R	R	%
Peregrine Capital Pure Hedge H4 Retail Hedge Fund	R	R	%

4.2 Qualified Investor Hedge Funds

Notes:

- The issue date for the subscription of participatory interests is the first calendar day of the month
- Should you wish to start a monthly debit order, please complete Sections 5, Investor’s banking details, and 6.1, Permission to debit your account
- You may only authorise a monthly debit order once you have subscribed for the minimum lump sum investment amount
- Debit orders will be processed on the 28th calendar day of each month, for subscription of participatory interests in the selected Portfolio(s) on the first calendar day of the following month
- If applicable, please insert the percentage of the annual increase in the table below. Such annual increase shall be applicable on the investment anniversary date for the duration of the debit order authority
- If you wish for H4 to debit your account for the lump sum investment amount, please complete Section 5, Investor’s banking details, and 6.1, Permission to debit your account. Please note that each direct debit is limited to a maximum of R1 000 000 per day
- Direct debits will be processed on the 28th calendar day of each month

Portfolios	Lump Sum Investment Amount Minimum: R1 000 000 per portfolio	Monthly Debit Order Minimum: R5 000 per portfolio	Annual Debit Order Increase
Peregrine Capital High Growth H4 QI Hedge Fund	R	R	%
Peregrine Capital Pure Hedge H4 QI Hedge Fund	R	R	%
Peregrine Capital Dynamic Alpha H4 QI Hedge Fund	R	R	%

5 Investor's banking details

Name of account holder			
Name of bank			
Branch code			
Account number			
Type of account	Current	Savings	Transmission

6 How are you making your payment?

Electronic/Internet transfer (EFT)

Collection by H4

(H4 will collect the amount specified in Section 4.1 and/or 4.2 from the bank account referred to in Section 5)

Notes:

- Maximum of R1 000 000 per debit per day
- Participatory interests bought with a direct debit can only be withdrawn or switched after 32 business days.

6.1 Permission to debit your account

Notes:

- Monthly debit orders will automatically be cancelled if funds are returned from the bank after 3 consecutive dealing cycles. If a debit order is returned by the bank due to insufficient funds being available or for any other reason, then no additional subscription will be processed.
- I/We, the undersigned signatory(ies) of the bank account holder, hereby authorise H4 to withdraw from my/our South African bank account in Section 5, the debit order investment amounts detailed in Section 4.1 and/or 4.2, on the 28th calendar day of each month, for the purchase of participatory interests in the selected Portfolio(s) on the first calendar day of the following month for Qualified Investor Funds or the first business day of the following month for Retail Hedge Funds. All such withdrawals from my/our account will be treated as though signed personally by me/us, and I/we request the bank to debit my/our account with these drawings.
- I/We, the undersigned signatory(ies) of the bank account holder, hereby authorise H4 to withdraw from my/our South African bank account in Section 5, the direct debit investment amounts detailed in Section 4.1 and/or 4.2. All such withdrawals from my/our account will be treated as though signed personally by me/us, and I/we request the bank to debit my/our account with these drawings.

1st Signatory			
Name		Signature (or duly authorised representative)	Date
2nd Signatory			
Name		Signature (or duly authorised representative)	Date

7. Income distribution

This investment may earn income distributions (interest and/or dividends). H4 will automatically reinvest income distributions earned on this investment, which means the Investor will receive additional participatory interests.

Please indicate if the income distributions should be paid into the Investor's bank account

8. Investor declaration and consent

By signing below, I confirm that:

- 8.1 I agree to answer any questions on the source of the funds used for payment of this investment and to provide additional information if required;
- 8.2 I am aware of, and consent to, all fees and costs relating to this investment (including the cost of buying/selling participatory interests and H4's fees, which include a monthly management fee and quarterly performance fee). I am aware that there are further permissible deductions from the Portfolio(s) (e.g. audit fees, trustee and custodian fees) that may impact on the value of this investment;
- 8.3 I have been free to obtain such independent legal, financial, tax and/or other professional advice in relation to this application and have taken, or otherwise dispensed with, such advice;
- 8.4 I understand that H4 may be legally obliged to disclose the information contained in this form to the South African Revenue Services, and do not object to this disclosure;
- 8.5 I hereby waive any claims against the Portfolio(s), Peregrine Capital, H4 and any of their employees, officers and agents for liability, loss, damage or expense in connection with taxes, penalties or interest levied on the Investor. Any such person may accept the benefit of this waiver at any time in any manner;
- 8.6 I agree to compensate H4 and its employees, agents, officers and directors for all liability, loss, damage and expense that results directly or indirectly from H4 having acted on any information provided by me or on my behalf, in or pursuant to this application;
- 8.7 I consent to receiving all communication and information relating to this investment electronically;
- 8.8 I consent to H4 collecting, processing, storing and sharing my personal information in the normal course of business and according to the H4 Hedge Fund Portfolios Terms and Conditions;
- 8.9 I acknowledge that any information supplied to H4 in terms of this application is provided voluntarily to H4;
- 8.10 I agree that my personal information may be collected and verified from another source, if not collected directly from me.

By signing below, I represent and warrant that:

- 8.11 if this form is signed in a representative capacity, I have the necessary authority to do so and that this transaction is within my powers;
- 8.12 where I am acting on my own behalf, I have full contractual capacity to enter into this application form;
- 8.13 all information provided in this form and all other documents signed by me in connection with this application form, whether in my handwriting or not, is true and correct;
- 8.14 I am responsible for the accuracy and completeness of all answers, statements or other information provided by me or on my behalf;
- 8.15 I have read, understood and agree to the latest H4 Hedge Fund Portfolios Terms and Conditions;
- 8.16 I have read and understood the latest Minimum Disclosure Document(s) and the Key Investor Information Document(s);
- 8.17 I have read and understood the risk profile of the Portfolio(s) and I understand that it is my obligation to familiarise myself with, and accept the risks associated with this investment. I further understand that there are no guarantees with regard to my capital and the performance of the Portfolios;
- 8.18 In terms of the Prevention of Organised Crime Act of 1998 ("POCA"), I confirm that the funds for this investment come from a legitimate source. I also confirm that my income and my investments are not in any way affected by the contraventions and prohibitions as set out in both the Protection of Constitutional Democracy Against Terrorist and Related Activities Act of 2004 ("POCDATARA"), and the Financial Intelligence Centre Act of 2001 ("FICA"), and that H4 will not be contravening POCDATARA and FICA by entering into a business relationship with me.
- 8.19 I have not received advice from H4 or Peregrine Capital regarding this investment;

8.20 Self-certified qualified investor declaration

If you are investing in a Portfolios listed in Section 4.1, please complete this self-certified qualified investor declaration

I am a self-certified qualified investor for the purposes of the Collective Investment Schemes Control Act, No. 45 of 2002.

I am a self-certified qualified investor because 2 of the following statements is applicable

I have invested a minimum amount of R1 000 000 per Portfolio;

and

I have demonstrable knowledge and experience in financial and business matters which would enable me to assess the merits and risk of a hedge fund investment; **or**

I have appointed a financial adviser who has demonstrable knowledge and experience to advise me regarding the merits and risks of a hedge fund investment.

1st Signatory					
Name		Signature (or duly authorised representative)		Date	
2nd Signatory					
Name		Signature (or duly authorised representative)		Date	

ANNEXURE A FICA GLOSSARY

1. Associates

Associates in relation to a Domestic Prominent Influential Person and a Foreign Prominent Public Official include, but are not limited to, the following relationships: known intimate partners outside the family unit; prominent members of the same political party, civil organization, labour or employee union as the prominent person; business partners or associates; anyone who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person.

2. Business relationship

Business relationship means a business relationship entered into with the expectation that engagements will recur over a period of time.

3. Domestic prominent influential persons

A person is considered to be a domestic prominent influential person if he or she holds that position in South Africa, including in an acting position for a period exceeding six months, or the immediate family of such person. A person is also considered to be a domestic prominent influential person for a further 12 months from the date the person ceased to hold that position and includes, but is not limited to:

- 3.1. The President or Deputy President (<http://www.gov.za/about-government/leaders>);
- 3.2. A government minister or deputy minister (<http://www.gov.za/about-government/leaders>);
- 3.3. The Premier of a province (<http://www.gov.za/links/provincial-government>);
- 3.4. A member of the Executive Council of a province (<http://www.gov.za/links/provincial-government>);
- 3.5. An executive mayor of a municipality elected in terms of the Local Government Municipal Structures Act, 1998 (<http://www.salga.org.za/Municipalities%20MCD.html>);
- 3.6. A leader of a political party registered in terms of the Electoral Commission Act, 1996. The leader of a political party is the person identified by the party to occupy the position of the highest level of authority in the party (<http://www.elections.org.za/content/Parties/Political-party-list>);
- 3.7. A member of the royal family or senior traditional leader as defined in the Traditional Leadership and Governance Framework Act, 2003. The description of a "senior" traditional leader, therefore, applies to such traditional leaders who exercise authority over a number of headmen or headwomen in accordance with customary law, or within whose area of jurisdiction a number of headmen or headwomen exercise authority (<http://www.cogta.gov.za/?p=938>);
- 3.8. The head accounting officer or chief financial officer of a national or provincial department or government component as defined in section 1 of the Public Service Act, 1994 (http://www.gcis.gov.za/gcis/pdf/government_28.pdf);
- 3.9. The municipal manager of a municipality appointed in terms of section 54A of the Local Government: Municipal systems Act, 2000 or a chief financial officer designated in terms of section 80(2) of the Municipal Finance Management Act, 1999 (<http://www.salga.org.za/Municipalities%20MCD.html>);
- 3.10. The chairperson of the controlling body, the chief executive officer or a natural person who is the accounting authority, the chief financial officer or the chief investment officer of a public entity listed in Schedule 2 or 3 to the Public Finance Management Act, 1999 (<http://www.gcis.gov.za/content/resourcecentre/contactdirectory/government-structuresand-parastatals>);
- 3.11. The chairperson of the controlling body, chief executive officer, chief financial officer or chief investment officer of a municipal entity as defined in section 1 of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000) <http://www.govpage.co.za/municipal-entities.html>;
- 3.12. A constitutional court judge or any other judge as defined in section 1 of the Judges' Remuneration and Conditions of Employment Act, 2001 (<http://www.judiciary.org.za/index.html>);
- 3.13. An ambassador or high commissioner or other senior representative of a foreign government based in the Republic of South Africa (<http://www.dirco.gov.za/foreign/forrep/index.htm>);
- 3.14. An officer of the South African National Defence Force above the rank of major-general
- 3.15. Note: This will include persons holding the position of General and Lieutenant General in the South African National Defence Force. (<http://www.dod.mil.za/leaders/leaders.htm>);
- 3.16. The position of:
 - 3.16.1. Chairperson of the board of directors;
 - 3.16.2. Chairperson of the audit committee;
 - 3.16.3. Executive officer; or
 - 3.16.4. Chief financial officer of a company, as defined in the Companies Act, 2008;

if the company provides goods or services to an organ of state and the annual transactional value of the goods or services or both exceeds an amount determined by the Minister of Finance by notice in the Gazette;

- 3.17. Immediate family members are:
- 3.17.1. The spouse, civil partner or life partner;
 - 3.17.2. The previous spouse, civil partner or life partner;
 - 3.17.3. Children and step children and their spouse, civil partner or life partner;
 - 3.17.4. Parents; and
 - 3.17.5. Siblings and step siblings and their spouse, civil partner or life partner.

For additional reference please refer to: <http://www.fatf-gafi.org/media/fatf/documents/recommendations/Guidance-PEPRec12-22.pdf>.

4. Family members

Family members in relation to a Domestic Prominent Influential Person and a Foreign Prominent Public Official includes such person's spouse, civil or life partner; the previous spouse, civil or life partner; the person's children and step children and their spouse, civil or life partner; such person's parents and siblings, step siblings and their spouse, civil or life partner.

5. Foreign prominent public official

A person is considered to be a foreign prominent influential person if he or she holds that position or has held the position in a foreign country for a period of at least 12 months after the date on which that person ceased to hold that position, and includes but is not limited to:

- 5.1. Head of State or head of a country or government;
- 5.2. Member of a foreign royal family;
- 5.3. Government minister or equivalent senior politician or leader of a political party;
- 5.4. Senior judicial official;
- 5.5. Senior executive of a state owned corporation; or
- 5.6. High-ranking member of the military.

6. Source of funds

Source of funds means the origin of the monies involved in a business relationship or single transaction. This includes the activity which produced the monies used in the business relationship or single transaction.

7. Source of income

Source of income means the activities or circumstances that have produced or resulted in the total net worth of the person.

ANNEXURE B TAX GUIDE

'National' means that you carry the status of being a national in a country. It differs technically and legally from citizenship. As an example, some individuals may not have the full right to vote or participate in the political life of the country in which they reside. An individual may also have nationality without having full citizenship.

Citizen' means that you possess citizenship in that country. Citizenship can be acquired by birth, descent, naturalisation or in specific instances by registration, dependent on the laws of the country.

Tax Residence varies from country to country. In general, tax residence is determined and based on the tax residence rules of a country. Please refer to the following link to assist you in determining your tax residence: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>.

DWT and IWT		
Term	Definition	Explanation
DWT	Dividend Withholding Tax	DWT is a tax imposed on shareholders on receipt of dividends. DWT is categorised as a withholding tax, as the tax is withheld and paid to SARS by the company paying the dividend or by a regulated intermediary.
IWT	Interest Withholding Tax	IWT will be applied to local interest distributed to non-South African residents for tax purposes. IWT is categorised as a withholding tax, as the tax is withheld and paid to SARS by the company paying the interest or by a regulated intermediary.
TIN	Tax Identification Number	The number that each jurisdiction issues to identify an individual for tax purposes. The TIN could be called something different in different countries. Examples: <ul style="list-style-type: none"> • SA: Income tax number issued by SARS • US: For an individual this would be their US Social Security Number • UK: National Insurance Number or Unique Taxpayer References

FATCA and CRS		
Term	Definition	Explanation
Additional tax information	Global Tax Information	Additional tax information relates to the disclosure of each tax jurisdiction where the investor could be liable to declare their assets and income, regardless of where the asset is housed, or income is earned.
CRS	OECD Common Reporting Standards	The Common Reporting Standard calls on jurisdictions to obtain information from their financial institutions and automatically exchange that information with other jurisdictions on an annual basis. South African financial institutions may be legally required to obtain and report certain information contained in this form, and on the Investor's investments, to SARS annually where investors are tax resident in foreign jurisdiction(s). SARS may exchange this information with tax authorities of a foreign jurisdiction(s), pursuant to intergovernmental agreement ("IGA") to exchange financial account information.
FATCA	Tax Identification Number	FATCA stands for the Foreign Account Tax Compliance Act and is a United States (US) initiative aimed at reducing the potential for offshore tax evasion. FATCA requires that financial institutions outside the US provide the Inland Revenue Service (IRS) with financial account information they hold on US citizens. The South African government and the US government have entered into an IGA to implement FATCA, which places certain obligations on South African financial institutions to enhance their client identification procedures and to report certain information to SARS annually. The IGA has been given effect in South African law. H4 may be legally required to report certain information contained in this form, and on the Investor's investments, to SARS, where the Investor is identified as a US Person (US citizen or tax resident). SARS may exchange this information with the United States IRS.
US Person	Defines which individuals fall into the definition	"US Person" means an individual that is a US citizen or resident in the United States.

If you require more information, please visit the following websites

- DWT/IWT www.sars.gov.za
- FATCA www.irs.gov
- CRS www.oecd.org