

HEDGE FUND PORTFOLIOS ADDITIONAL INVESTMENT FORM

BEFORE YOU INVEST

- 1.1 H4 Collective Investments (RF) (Pty) Ltd ("H4") is registered as a collective investment scheme manager under the Collective Investment Schemes Control Act, No. 45 of 2002.
- 1.2 H4 has appointed Peregrine Capital (Pty) Ltd ("Peregrine Capital") as the discretionary hedge fund manager of the Portfolios in Section 3 ("the Portfolios").
- 1.3 All documents and forms are available from H4 on request by you, or at www.peregrine.co.za.

MAKE AN INFORMED DECISION

- 1.4 Read the latest **Minimum Disclosure Document(s)** and the **Key Investor Information Document(s)** to ensure that you have chosen the correct Portfolio(s) to suit the Investor's needs.
- 1.5 Consider getting financial advice
 - Neither H4 nor Peregrine Capital provide financial advice. However, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser ("IFA")
 - If the Investor has an IFA, please complete the **Financial Adviser Form**

COMPLETE THE FORM & AGREE TO THE Ts & Cs

- 1.6 Read and agree to the latest **H4 Hedge Fund Portfolios Terms and Conditions** of the Investor's investment.
- 1.7 Complete all the information on the form to ensure that there is no delay in processing this form.
- 1.8 Please initial next to any amendments that you have made on this form.
- 1.9 If you have an existing debit order instruction in place and you would like to change this instruction, please complete the **Change of Debit Order Instruction Form**.

SEND THE DOCUMENTS

- 1.10 Send the documents listed below to hedge@h4ci.co.za
 - Completed additional investment form
 - If the Investor's banking details have changed since the Investor's original investment, please submit a **Change of Investor Information and Details Instruction Form** with verification of the Investor's bank details (e.g. cancelled cheque or bank statement, less than three months old)
 - If the Investor's source of income/wealth and/or if the Investors has become politically prominent or exposed or has a family member or associate who is politically prominent or exposed in terms of the Financial Intelligence Centre Act, No. 38 of 2001 ("FICA") since the Investor's original investment, please submit a **Change of Investor Information and Details Instruction Form**
 - In certain instances H4 may contact you requesting additional documents

MAKE PAYMENT

- 1.11 H4 will not accept payments from any third party, credit card, bond or market linked accounts.
- 1.12 H4 will inform you when your additional investment is approved.
- 1.13 H4 will email the relevant H4 bank account details to you once this additional investment form has been approved.
- 1.14 Please use the Investor's name as a reference, and submit a copy of the EFT to hedge@h4ci.co.za. H4 requires proof of payment before this additional investment can be processed.
- 1.15 Please refer to the relevant section in the **H4 Hedge Fund Portfolios Terms and Conditions** for the cut-off time for the submission of this form and deposit of funds.
- 1.16 Should the funds NOT be reflecting and cleared in the H4 bank account by the cut-off time, the funds will be returned to the Investor, and any loss incurred is for the Investor's account.
- 1.17 The Investor shall not be entitled to receive interest on funds deposited between the date of deposit and the investment date, or on funds returned to the Investor.
- 1.18 If you have any questions, please contact H4 on **086 000 7993** or hedge@h4ci.co.za.

1. Investor information

Investor number			
Name & Surname/Entity name			
Identity/passport/registration number			
Contact details	Full name		
	Mobile		Work
	Email address		

2. Investor profile information required in terms of the Financial Intelligence Centre Act, No. 38 of 2001 (“FICA”)

Note: The law requires that H4 knows the source of the Investor's funds before H4 can process this application

2.1 Source of the Investor's funds

Please indicate the source of funds to be invested in the Portfolio(s)

Note: The Investor may be required to provide proof of source of funds e.g. IRP5 certificate/deed of sale of property

<input type="checkbox"/> Capital/Savings	<input type="checkbox"/> Pension	<input type="checkbox"/> Sale of Business	<input type="checkbox"/> Donation	<input type="checkbox"/> Sale of Property
<input type="checkbox"/> Inheritance	<input type="checkbox"/> Company profit	<input type="checkbox"/> Investment Income/Sale of investment		
<input type="checkbox"/> Other (please specify)				

2.2 Estimated investment horizon of this investment

Please indicate the planned investment horizon of this investment

<input type="checkbox"/> < 6 months	<input type="checkbox"/> 6 – 12 months	<input type="checkbox"/> 1 – 3 years	<input type="checkbox"/> 3 – 5 years	<input type="checkbox"/> > 5 years
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2.3 Future transactions

Note: This information is required for FICA purposes and providing this information will not create an obligation on the Investor to enter into such future transactions

With regard to future transactions, please indicate if the Investor intends placing additional funds under H4's management and administration

Yes No Uncertain

If “Yes”, please provide as much information as possible, including approximate amount, approximate date and the expected source of the funds

Approximate amount	Approximate date	Expected source of funds

3. Choose your investment

3.1 Retail Hedge Funds

Notes:

- Should you wish to implement a monthly debit order, please complete Section 3.3, Investor's banking details, and 3.4, Regular debit order authority
- Debit orders will be processed on the 28th calendar day of each month, for subscription of participatory interests in the selected Portfolio(s) on the first business day of the following month
- If applicable, please insert the percentage of the annual increase in the table below. Such annual increase shall be applicable on the investment anniversary date for the duration of the debit order authority

Portfolios	Additional Lump Sum Investment Amount Minimum: R5 000 per portfolio	Monthly Debit Order Minimum: R1 000 per portfolio	Annual Debit Order Increase	Monthly Recurring Additional investment *
Peregrine Capital High Growth H4 Retail Hedge Fund	R	R	%	R
Peregrine Capital Pure Hedge H4 Retail Hedge Fund	R	R	%	R

* Investment date will be the first business day after the subscription monies have cleared in the H4 bank account.

3.2 Qualified Investor Hedge Funds

Notes:

- The issue date for the subscription of participatory interests is the first calendar day of the month
- Should you wish to start a monthly debit order, please complete Section 3.3, Investor's banking details, and 3.4, Regular debit order authority
- You may only authorise a monthly debit order once you have subscribed for the minimum lump sum investment amount of R1 million. The minimum lump sum investment amount of R1 million for investment in a QI Hedge Fund is a regulatory minimum requirement and cannot be amended or waived by H4. Debit orders will be processed on the 28th calendar day of each month, for subscription of participatory interests in the selected Portfolio(s) on the first calendar day of the following month
- If applicable, please insert the percentage of the annual increase in the table below. Such annual increase shall be applicable on the investment anniversary date for the duration of the debit order authority

Portfolios	Additional Lump Sum Investment Amount Minimum: R50 000 per portfolio	Monthly Debit Order Minimum: R5 000 per portfolio	Annual Debit Order Increase	Monthly Recurring Additional investment **
Peregrine Capital High Growth H4 QI Hedge Fund	R	R	%	R
Peregrine Capital Pure Hedge H4 QI Hedge Fund	R	R	%	R
Peregrine Capital Dynamic Alpha H4 QI Hedge Fund	R	R	%	R

** Investment date will be the first calendar day of the following month after the subscription monies have cleared in the H4 bank account.

3.3 Investor's banking details

Note: Please complete this section if you are setting up a debit order instruction

Name of account holder			
Name of bank			
Branch code			
Account number			
Type of account	<input type="checkbox"/> Current	<input type="checkbox"/> Savings	<input type="checkbox"/> Transmission

4. How are you making your payment?

Electronic/Internet transfer (EFT)

Collection by H4

H4 will collect the amount specified in Section 3.1 and/or 3.2 from the bank account referred to in Section 3.3

Notes:

- Maximum of R1 000 000 per debit per day
- Participatory interests bought with a direct debit can only be withdrawn or switched after 32 business days.

4.1 Permission to debit your account

Notes:

- Monthly debit orders will automatically be cancelled if funds are returned from the bank after 3 consecutive dealing cycles. If a debit order is returned by the bank due to insufficient funds being available or for any other reason, then no additional subscription will be processed.

- I/We, the undersigned signatory(ies) of the bank account holder, hereby authorise H4 to withdraw from my/our South African bank account in Section 3.3, the debit order investment amounts detailed in Section 3.1 or 3.2, on the 28th

calendar day of each month, for the purchase of participatory interests in the selected Portfolio(s) on the first calendar day of the following month for Qualified Investor Funds or the first business day of the following month for Retail Hedge Funds. All such withdrawals from my/our account will be treated as though signed personally by me/us, and I/we request the bank to debit my/our account with these drawings.

- I/We, the undersigned signatory(ies) of the bank account holder, hereby authorise H4 to withdraw from my/our South African bank account in Section 3.3, the direct debit investment amounts detailed in Section 3.1 and/or 3.2. All such withdrawals from my/our account will be treated as though signed personally by me/us, and I/we request the bank to debit my/our account with these drawings.

1st Signatory				
Name		Signature (or duly authorised representative)		Date
2nd Signatory				
Name		Signature (or duly authorised representative)		Date

5. Investor declaration and consent

By signing below, I/we, the Investor (or for and on behalf of the Investor) confirm that:

- I/we have been free to obtain such independent legal, financial, tax and/or other professional advice in relation to this form and have taken, or otherwise dispensed with, such advice;
- I/we understand that H4 may be legally obliged to disclose the information contained in this form to the South African Revenue Services, and do not object to this disclosure;
- I/we agree to compensate H4 and its employees, agents, officers and directors for all liability, loss, damage and expense that results directly or indirectly from H4 having acted on any information provided by me/us or on my/our behalf, in or pursuant to this form;

By signing below, I/we, the Investor (or for and on behalf of the Investor), represent and warrant that:

- if this form is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers;
- the Investor has full contractual capacity to enter into this form;
- all information provided in this form and all other documents signed by me/us in connection with this form, whether in my/our handwriting or not, is true and correct;
- I am/we are responsible for the accuracy and completeness of all answers, statements or other information provided by me/us or on my/our behalf;
- I/we have read, understood and agree to the latest H4 Hedge Fund Portfolios Terms and Conditions, the Key Investor Information Document(s) and the Minimum Disclosure Document(s), which I/we understand may have changed since the Investor's original investment;
- I/We confirm that I/we have read and understood the risk profile of the Portfolio(s) and I/we understand that it is my/our obligation to familiarise myself/ourselves with and accept the risks associated with this additional investment. I/We further understand that there are no guarantees with regard to my/our capital and the performance of the Portfolios;
- in terms of the Prevention of Organised Crime Act of 1998 ("POCA"), the funds for this additional investment come from a legitimate source. I/We also confirm that my/our income and my/our investments are not in any way affected by the contraventions and prohibitions as set out in both the Protection of Constitutional Democracy Against Terrorist and Related Activities Act of 2004 ("POCDATARA"), and the Financial Intelligence Centre Act of 2001 ("FICA"), and that H4 will not be contravening POCDATARA and FICA by entering into a business relationship with me/us;
- I/we have not received advice from H4 or Peregrine Capital regarding this investment.

1st Signatory				
Name		Signature (or duly authorised representative)		Date
2nd Signatory				
Name		Signature (or duly authorised representative)		Date